



## Supplier Code of Conduct

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## Supplier Code of Conduct

### Preamble

Roc Technologies Limited is committed to conducting its business in an ethical, legal, environmentally and socially responsible manner. We expect similar principled conduct from everyone with whom Roc Technologies has commercial dealings. Further, Roc Technologies strives to continuously improve the sustainability of its operations and products and encourages its suppliers to participate in this effort by adopting sustainable practices in their operations.

The Roc Technologies Supplier Code of Conduct (the “Code”) sets out the requirements expected of our Suppliers regarding compliance with laws and regulations, corruption and bribery, social and working conditions, and protection of the environment. The Code is informed by internationally recognised principles such as the Universal Declaration of Human Rights.

Our goal is to work with our Suppliers to assure full compliance with these requirements as they, in turn, take the responsibility to implement these requirements and necessary due diligence processes with their own employees, agents, temporary workers, subcontractors, homeworkers, suppliers and sub-suppliers with whom they work in the delivery of goods and services to Roc Technologies Limited.

### Compliance with laws and regulations

Suppliers shall comply with all applicable domestic and international laws and regulations, industry standards, and all other relevant statutory requirements whichever requirements impose the highest standard of conduct.

### Doing business with integrity

Suppliers shall conduct their business in accordance with the highest ethical standards. Suppliers shall comply with all applicable domestic and international laws and regulations, particularly concerning corruption, bribery, anti-trust/competition, intellectual property, and conflicts of interest.

**Anti-Corruption/Anti-Bribery:** Suppliers shall not, directly or indirectly, engage in any act of corruption, extortion or embezzlement, nor in any form of bribery. This includes but is not limited to the promising, offering, giving or accepting of any improper monetary or other incentive such as bribes, unreasonable business entertaining and gifts as well as kickbacks.

**Anti-Trust/Free Competition:** Suppliers shall commit to the principle of free competition and not engage in collusive bidding, price fixing, price discrimination, or other unfair trade practices.

**Intellectual Property:** Suppliers shall respect the intellectual property of others. Suppliers shall take appropriate steps to safeguard and maintain confidential and proprietary information or trade secrets of its business partners and use such information only for the purposes authorised in contractual agreements. In the case of subcontracting, sharing of confidential information of Roc Technologies Limited should only be made with the consent of Roc Technologies Limited.

**Conflicts of Interest:** Suppliers will disclose to Roc Technologies Limited any situation that may appear to be a conflict of interest, and disclose to Roc Technologies Limited if any employee from Roc Technologies Limited, one of their family members, or a friend may have an interest of any kind in the Supplier's business or any kind of economic ties with the Supplier.

### **Social and working conditions**

Suppliers shall recognise and be committed to upholding the human rights of their employees and treat them with dignity and respect as understood by the international community. Our Suppliers shall respect the following standards, irrespective of the applied working arrangement, be it direct employment, labour contracting, subcontracting, homeworkers or any other form.

**Freely Chosen Employment:** Suppliers shall not, under any circumstances, use forced, bonded or indentured labour or involuntary prison labour. Employment is voluntary.

**Child Labour Avoidance:** Suppliers shall not, under any circumstances, use child labour as defined by ILO and United Nations Convention and/or national law, whichever is more stringent.

**Compensation:** Suppliers shall comply with all applicable laws, regulations and industry standards concerning wages and benefits. Wages and benefits paid for a standard working week shall meet at least legal or industry minimum standards, or comply with legally binding, freely negotiated collective bargaining agreements, whichever is higher. They should always be sufficient to meet basic needs of workers and their families. Deductions from wages as a disciplinary measure shall not be permitted unless authorised by applicable law and by a freely negotiated collective bargaining agreement in force.

**Working Hours:** Suppliers shall comply with all applicable laws, regulations and industry standards on working hours. Unless applicable laws, regulations or industry standards are more restrictive, the maximum working hours in a week shall not, on a regular basis, exceed 48 hours and the maximum allowable overtime hours in a week shall not exceed 12 hours. Overtime shall be on a voluntary basis and employees shall be provided with at least one day off for every 7 day period, unless exceptions defined by law or a freely negotiated collective bargaining agreement apply.

**Non-Discrimination:** Suppliers shall strictly adhere to all applicable laws and regulations prohibiting discrimination in hiring and employment on the grounds of gender, age, religion, political opinion, union affiliation, health condition, national or social origin, sexual orientation, ethnicity or colour or other basis prohibited by law.

**Harassment, Abuse and Disciplinary Practices:** Suppliers shall not engage in physical, mental, verbal, sexual or any other abuse, inhumane or degrading treatment, corporal punishment or any form of intimidation. Suppliers shall comply with local laws and regulations on disciplinary practices.

**Freedom of Association and Collective Bargaining:** Suppliers shall respect the rights of employees to form and join trade unions and bargain collectively. If Suppliers operate in a country where these rights are impaired by the law, they shall allow their employees to freely elect their own representatives which can enter into dialogue with the Supplier about working conditions.

**Health and Safety:** Suppliers shall provide their employees with a safe and healthy workplace in compliance with all applicable laws, regulations and industry standards, especially with in respect of building safety, housing conditions, electrical installations, machine safeguarding and personal protective equipment. Suppliers shall involve workers in the process of identifying and mitigating risks to worker's health and safety.

**Working Conditions:** Suppliers shall provide their employees with adequate working facilities which, at a minimum, shall ensure reasonable access to potable drinking water and sanitary facilities; fire safety; access to emergency medical care; and adequate lighting and ventilation.

## Environment

Suppliers recognise that environmental responsibility is integral to producing high quality products and strive to continuously improve their environmental performance. Suppliers shall comply with all applicable environmental laws, regulations and industry standards. Suppliers shall implement policies and procedures to identify, prevent and minimise relevant negative environmental impacts. This includes information and training of relevant employees. Further, Suppliers shall comply with any additional environmental requirements specific to the products or services being provided to Roc Technologies Limited as called for in design and product specifications and contract documents.

**Hazardous Substances:** Suppliers shall minimise the use of hazardous substances and ensure that all hazardous substances are safely handled, stored and disposed. Suppliers shall inform employees on relevant safety practices and provide appropriate training.

**Resources:** Suppliers shall minimise their negative impacts on natural resources, including air, land, forests and water as well as ensuring animal welfare. Suppliers shall optimise their resource consumption, including raw materials, water and energy.

**Waste and Emissions:** Suppliers shall minimise disposal of solid waste, wastewater and other emissions to prevent pollution of air, water and soil. Suppliers shall strive to minimise their greenhouse gas emissions.

## Communication and implementation

Suppliers shall effectively communicate the content of this Code to all their employees, agents,

subcontractors, suppliers and sub-suppliers with whom they work with in the delivery of goods and services to Roc Technologies Limited and conduct due diligence to assure its implementation.

### **Subcontractor and supplier management**

Suppliers shall conduct due diligence on their subcontractors, suppliers and sub-suppliers in order to verify compliance with the Code. Suppliers shall therefore assess the risk for potential breaches of the Code in their own supply chains, which may include farms and plantations, and implement adequate compliance monitoring measures based on this risk assessment. If non-compliance is identified, Suppliers shall take necessary improvement measures or cease business with subcontractors, suppliers and sub-suppliers if no improvements can be achieved.

### **Monitoring and record keeping**

Suppliers shall maintain documentation appropriate to demonstrate adherence to the Code for its own operations. Suppliers shall also maintain documentation on the due diligence process in their own supply chains, including agricultural production. Suppliers shall provide Roc Technologies Limited with this documentation and other information demonstrating adherence to this Code upon request.

### **Assessment and potential impacts on business relationship to Roc Technologies Limited**

Suppliers shall conduct regular internal assessments to assure their compliance with the Code. Roc Technologies Limited reserves the right to conduct (or have its designee conduct) unannounced inspections of Suppliers and their facilities to verify compliance with the Code. Suppliers shall inform Roc Technologies Limited if any agreements with other partners stand in contradiction to this requirement, in which case Roc Technologies Limited will ensure the inspection is carried out by a third party, respecting concerned agreements. In addition to any other contractual rights of Roc Technologies Limited, Suppliers' failure to adhere to the Code may result in Roc Technologies Limited ceasing to do business with offending Suppliers.

### **Supplier's declaration of compliance**

Every supplier of Roc Technologies Limited is required to sign a declaration of compliance to acknowledge and confirm its compliance with the principles and requirements of the newest communicated version of the Code.

### **Development of the code**

Roc Technologies Limited will review this Code on a regular basis and shall introduce revisions where necessary or appropriate. Roc Technologies Limited will notify its Suppliers of any important changes but Suppliers will always find the applicable version of the Code on the corporate website of Roc Technologies Limited: <https://roctechnologies.com/>